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# Cohabitation of Independent Sets and Dominating Sets in Trees

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**Abstract.** We give a constructive characterization of trees that have a maximum independent set and a minimum dominating set which are disjoint and show that the corresponding decision problem is NP-complete for general graphs.

**Keywords.** domination; independence; inverse domination

**AMS subject classification.** 05C69

## 1 Introduction

We consider finite, undirected and simple graphs  $G = (V, E)$  with vertex set  $V$  and edge set  $E$ . A set  $I \subseteq V$  of vertices is an *independent set* of  $G$ , if no two vertices from  $I$  are adjacent in  $G$ . The maximum cardinality of an independent set of  $G$  is the *independence number*  $\alpha(G)$  of  $G$ . A set  $D \subseteq V$  of vertices is a *dominating set* of  $G$ , if every vertex in  $V \setminus D$  has a neighbour in  $D$ . The minimum cardinality of a dominating set of  $G$  is the *domination number*  $\gamma(G)$  of  $G$ .

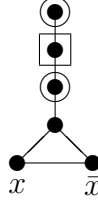
Minimum independent and maximum dominating sets are among the most fundamental and well-studied graph theoretic concepts [7]. As early as 1978 Bange, Barkauskas, and Slater [1] and Slater [10] characterized trees which have two disjoint minimum dominating sets and two disjoint maximum independent sets, respectively. In [2, 4, 6] the problem of finding two minimum dominating sets of minimum intersection is studied while in [8] trees with two disjoint minimum independent dominating sets are characterized. In [3, 5, 9] the minimum cardinality of a dominating set which lies in the complement of a minimum dominating set is studied.

Complementing this previous research we consider graphs  $G = (V, E)$  that have a maximum independent set  $I$  and a minimum dominating set  $D$  which are disjoint. We call such a pair of sets  $(I, D)$  an  $(\alpha, \gamma)$ -pair of  $G$ . Intuitively, two independent sets or two dominating sets compete for similar types of vertices while an independent set and a dominating set seem easier to reconcile. After proving that the decision problem whether a given graph has an  $(\alpha, \gamma)$ -pair is NP-complete, we give a constructive characterization of trees with an  $(\alpha, \gamma)$ -pair.

**Theorem 1** *The problem to decide whether an input graph has an  $(\alpha, \gamma)$ -pair is NP-complete.*

*Proof:* For a 3SAT instance  $\mathcal{C}$  with  $n$  variables and  $m$  clauses, we will describe a graph  $G = (V, E)$  of order polynomial in  $n$  and  $m$  such that  $\mathcal{C}$  is satisfiable if and only if  $G$  has an  $(\alpha, \gamma)$ -pair.

For every boolean variable  $x$  of  $\mathcal{C}$ , the graph  $G$  contains a copy  $H_x$  of the gadget shown in Figure 1 with two specified vertices  $x$  and  $\bar{x}$ .



**Figure 1:** The gadget  $H_x$ .

For every clause  $C$ , the graph  $G$  contains  $3n + 1$  disjoint paths of length three

$$P_1^C, P_2^C, \dots, P_{3n+1}^C.$$

In each of these paths  $P_i^C$  we specify one endvertex  $x_i^C$ . If  $C$  contains the literal  $y$ , then  $G$  contains the edges  $yx_i^C$  for  $1 \leq i \leq 3n + 1$ . The graph  $G$  contains no further vertices or edges.

Clearly, every independent set of  $G$  contains at most three vertices from every of the gadgets  $H_x$  and at most two vertices from every of the paths  $P_i^C$ , i.e.  $\alpha(G) \leq 3n + 2m(3n + 1)$ . Since choosing three independent vertices from every of the gadgets  $H_x$  and the vertices at distance one and three from  $x_i^C$  from every of the paths  $P_i^C$  yields an independent set of order  $3n + 2m(3n + 1)$ , we have  $\alpha(G) = 3n + 2m(3n + 1)$ .

Clearly, every dominating set of  $G$  contains at least two vertices from every of the gadgets  $H_x$  and at least one vertex from every of the paths  $P_i^C$ . Hence  $\gamma(G) \geq 2n + m(3n + 1)$ . Furthermore, since choosing  $x$ ,  $\bar{x}$  and the neighbour of the endvertex from every of the gadgets  $H_x$  and the vertex at distance two from  $x_i^C$  from every of the paths  $P_i^C$  yields a dominating set of order  $3n + m(3n + 1)$ , we have  $\gamma(G) \leq 3n + m(3n + 1)$ .

If  $\mathcal{C}$  has a satisfying truth assignment, then choosing three independent vertices containing the false literal among  $x$  and  $\bar{x}$  from every of the gadgets  $H_x$  and the vertices at distance one and three from  $x_i^C$  from every of the paths  $P_i^C$  yields a maximum independent set  $I$ . Furthermore, choosing the true literal among  $x$  and  $\bar{x}$  and the neighbour of the endvertex from every of the gadgets  $H_x$  and the vertex at distance two from  $x_i^C$  from every of the paths  $P_i^C$  yields a dominating set  $D$  of order  $2n + m(3n + 1)$ . Hence  $(I, D)$  is an  $(\alpha, \gamma)$ -pair of  $G$ .

Conversely, if  $G$  has an  $(\alpha, \gamma)$ -pair  $(I, D)$ , then we may assume that  $D$  contains exactly one of the two vertices  $x$  and  $\bar{x}$  from every of the gadgets  $H_x$ . If one of the vertices  $x_i^C$  from some path  $P_i^C$  is not dominated by a vertex from one of the gadgets  $H_x$ , then  $D$  must contain at least two vertices from every of the  $3n + 1$  paths  $P_i^C$  and at least one vertex from every of the remaining paths. Hence  $|D| \geq 3n + 1 + m(3n + 1)$  which is a contradiction. Therefore, all of the vertices  $x_i^C$  from every of the paths  $P_i^C$  are dominated by a vertex

from one of the gadgets  $H_x$ . Hence the literals contained in  $D$  define a satisfying truth assignment for  $\mathcal{C}$  and the proof is complete.  $\square$

## 2 Trees with an $(\alpha, \gamma)$ -pair

In this section we will describe a polynomial time procedure to decide whether a given tree has an  $(\alpha, \gamma)$ -pair. We describe suitable reductions and explain how these reductions yield a constructive characterization of trees with an  $(\alpha, \gamma)$ -pair.

The first lemma deals with some small trees.

**Lemma 2** (i) For  $2 \leq n \leq 6$  the path  $P_n : u_1 u_2 \dots u_n$  has the following  $(\alpha, \gamma)$ -pair  $(I_n, D_n)$ :

$$\begin{aligned} (I_2, D_2) &= (\{u_1\}, \{u_2\}) \\ (I_3, D_3) &= (\{u_1, u_3\}, \{u_2\}) \\ (I_4, D_4) &= (\{u_1, u_4\}, \{u_2, u_3\}) \\ (I_5, D_5) &= (\{u_1, u_3, u_5\}, \{u_2, u_4\}) \\ (I_6, D_6) &= (\{u_1, u_3, u_6\}, \{u_2, u_5\}). \end{aligned}$$

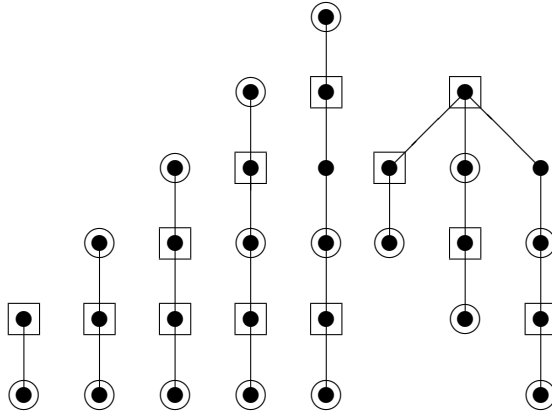
(ii) The tree  $T^* = (V^*, E^*)$  with

$$\begin{aligned} V^* &= \{u_0, u_1, v_0, v_1, v_2, w_0, w_1, w_2, w_3, x\} \\ E^* &= \{u_0 u_1, u_1 x, v_0 v_1, v_1 v_2, v_2 x, w_0 w_1, w_1 w_2, w_2 w_3, w_3 x\} \end{aligned}$$

has the  $(\alpha, \gamma)$ -pair

$$(\{u_0, v_0, w_0, v_2, w_2\}, \{u_1, v_1, w_1, x\}).$$

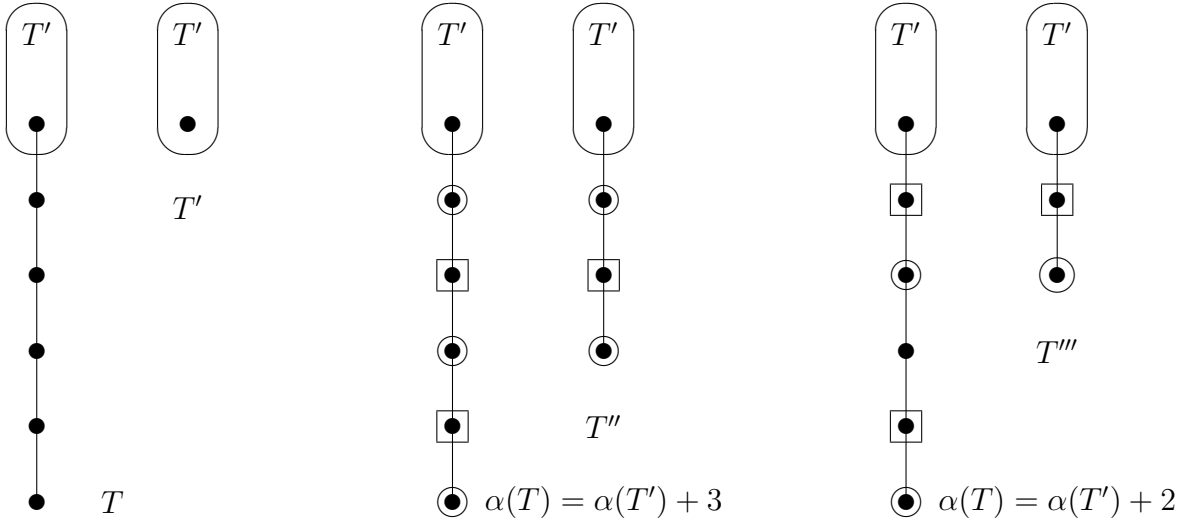
*Proof:* It is very easy to check that the given sets are maximum independent sets and minimum dominating sets which are disjoint.  $\square$



**Figure 2** The trees  $P_2, P_3, \dots, P_6$  and  $T^*$ .

**Lemma 3** Let  $T$  contain a path  $P : u_0 u_1 \dots u_5$  such that  $d_T(u_0) = 1$  and  $d_T(u_1) = d_T(u_2) = d_T(u_3) = d_T(u_4) = 2$ .

- (i)  $\alpha(T') + 2 \leq \alpha(T) \leq \alpha(T') + 3$  for  $T' = T - \{u_0, u_1, \dots, u_4\}$ .
- (ii) If  $\alpha(T) = \alpha(T') + 3$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T'' = T - \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T'') + 1$  and  $\gamma(T) = \gamma(T'') + 1$ .
- (iii) If  $\alpha(T) = \alpha(T') + 2$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T''' = T - \{u_0, u_1, u_2\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T''') + 1$  and  $\gamma(T) = \gamma(T''') + 1$ .



**Figure 3** The trees  $T$ ,  $T'$ ,  $T''$  and  $T'''$ .

*Proof:* (i) The first inequality follows, since for every independent set  $I'$  of  $T'$  the set  $I' \cup \{u_0, u_2\}$  is an independent set of  $T$ . The second inequality follows, since every independent set  $I$  of  $T$  contains at most three of the vertices in  $\{u_0, u_1, \dots, u_4\}$  and  $I \setminus \{u_0, u_1, \dots, u_4\}$  is an independent set of  $T'$ .

(ii) Let  $\alpha(T) = \alpha(T') + 3$ . Note that this implies that every maximum independent set of  $T$  contains  $u_0, u_2$  and  $u_4$ . Therefore, if  $T$  has an  $(\alpha, \gamma)$ -pair  $(I, D)$ , then  $u_0, u_2, u_4 \in I$  and hence  $u_1, u_3 \in D$ . Clearly,  $\alpha(T'') \leq \alpha(T') + 2$ . Since  $I \setminus \{u_0\}$  is an independent set in  $T''$ , we have  $\alpha(T'') \geq \alpha(T) - 1 = \alpha(T') + 2$  and thus  $\alpha(T) = \alpha(T') + 3 = \alpha(T'') + 1$ . Clearly,  $\gamma(T) \leq \gamma(T'') + 1$ . Since  $D \setminus \{u_1\}$  is a dominating set in  $T''$ , we have  $\gamma(T'') \leq \gamma(T) - 1$  and thus  $\gamma(T) = \gamma(T'') + 1$ . Now  $(I \setminus \{u_0\}, D \setminus \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T''$ .

Conversely, if  $T''$  has an  $(\alpha, \gamma)$ -pair  $(I'', D'')$ ,  $\alpha(T) = \alpha(T'') + 1$  and  $\gamma(T) = \gamma(T'') + 1$ , then  $(I'' \cup \{u_0\}, D'' \cup \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T$ .

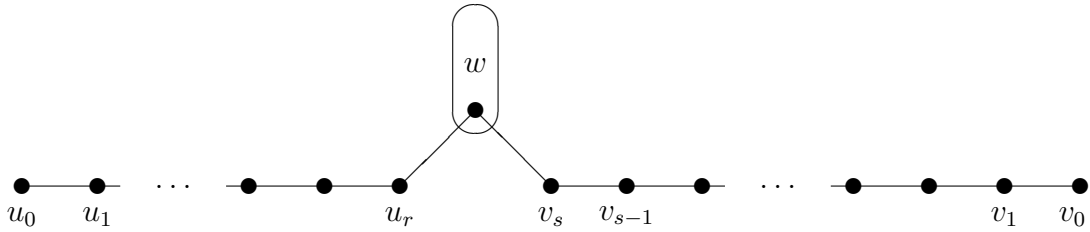
(iii) Let  $\alpha(T) = \alpha(T') + 2$ . If  $T$  has an  $(\alpha, \gamma)$ -pair  $(I, D)$ , then we may assume without loss of generality that  $u_0, u_3 \in I$  and  $u_1, u_4 \in D$ . Clearly,  $\alpha(T''') \leq \alpha(T') + 1$ . Since  $I \setminus \{u_0\}$  is an independent set in  $T'''$ , we have  $\alpha(T''') \geq \alpha(T) - 1 = \alpha(T') + 1$  and thus  $\alpha(T) = \alpha(T') + 2 = \alpha(T''') + 1$ . Clearly,  $\gamma(T) \leq \gamma(T''') + 1$ . Since  $D \setminus \{u_1\}$  is a dominating

set in  $T'''$ , we have  $\gamma(T''') \leq \gamma(T) - 1$  and thus  $\gamma(T) = \gamma(T''') + 1$ . Now  $(I \setminus \{u_0\}, D \setminus \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T'''$ .

Conversely, if  $T'''$  has an  $(\alpha, \gamma)$ -pair  $(I''', D''')$ ,  $\alpha(T) = \alpha(T''') + 1$  and  $\gamma(T) = \gamma(T''') + 1$ , then  $(I''' \cup \{u_0\}, D''' \cup \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T$ .  $\square$

Combining Lemma 2 (i) with Lemma 3 it is easy to check that the only paths  $P_n$  with an  $(\alpha, \gamma)$ -pair satisfy  $n \in \{2, 3, 4, 5, 6, 7, 8, 10\}$ .

**Lemma 4** *Let  $T$  contain a path  $P : u_0 u_1 \dots u_r w v_s v_{s-1} \dots v_0$  with  $r, s \geq 0$  such that  $d_T(u_0) = d_T(v_0) = 1$ ,  $d_T(u_i) = 2$  for  $1 \leq i \leq r$  and  $d_T(v_j) = 2$  for  $1 \leq j \leq s$ .*



**Figure 4** The path  $P : u_0 u_1 \dots u_r w v_s v_{s-1} \dots v_0$ .

- (i) If  $r = 2k$  and  $s = 2l$  for some  $0 \leq k, l \leq 1$  with  $k \geq l$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_i \mid 0 \leq i \leq 2k\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + k$ .
- (ii) If  $r = 2k + 1$  and  $s = 0$  for some  $0 \leq k \leq 1$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_i \mid 0 \leq i \leq 2k + 1\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + 1$ .
- (iii) If  $r = s = 1$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair.
- (iv) If  $r = s = 3$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1, u_2, v_0, v_1, v_2\}$  has an  $(\alpha, \gamma)$ -pair and  $\alpha(T) = \alpha(T') + 2$ .
- (v) If  $r = 1$ ,  $s = 2$  and  $d_T(w) = 3$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - V(P)$  has an  $(\alpha, \gamma)$ -pair.
- (vi) If  $r = 1$ ,  $s = 3$  and  $d_T(w) = 3$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair.
- (vii) If  $r = 2$ ,  $s = 3$  and  $d_T(w) = 3$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1, v_0, v_1, v_2, v_3\}$  has an  $(\alpha, \gamma)$ -pair.

*Proof:* (i) Note that every maximum independent set  $I$  of  $T$  satisfies  $I \cap V(P) = \{u_{2i} \mid 0 \leq i \leq k\} \cup \{v_{2j} \mid 0 \leq j \leq l\}$ .

Therefore, if  $T$  has an  $(\alpha, \gamma)$ -pair  $(I, D)$ , then  $u_{2i} \in I$  for  $0 \leq i \leq k$ ,  $v_{2j} \in I$  for  $0 \leq j \leq l$ ,  $u_{2i+1} \in D$  for  $0 \leq i \leq k - 1$  and  $v_{2j+1} \in D$  for  $0 \leq j \leq l - 1$ . Clearly,  $\alpha(T) \leq \alpha(T') + k + 1$ .

Since  $I \setminus \{u_{2i} \mid 0 \leq i \leq k\}$  is an independent set in  $T'$ , we have  $\alpha(T') \leq \alpha(T) - (k+1)$  and thus  $\alpha(T) = \alpha(T') + k + 1$ . Clearly,  $\gamma(T) \leq \gamma(T') + k$  — note that  $k = 0$  implies  $l = 0$  and  $w \in D$ . Since  $D \setminus \{u_{2i+1} \mid 0 \leq i \leq k-1\}$  is a dominating set in  $T'$ , we have  $\gamma(T') \leq \gamma(T) - k$  and thus  $\gamma(T) = \gamma(T') + k$ . Now  $(I \setminus \{u_{2i} \mid 0 \leq i \leq k\}, D \setminus \{u_{2i+1} \mid 0 \leq i \leq k-1\})$  is an  $(\alpha, \gamma)$ -pair of  $T'$ .

Conversely, if  $T'$  has an  $(\alpha, \gamma)$ -pair  $(I', D')$ ,  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + k$ , then in view of  $l \leq 1$  we may assume that  $v_{2l} \in I'$ . Hence  $w \notin I'$  and  $(I' \cup \{u_{2i} \mid 0 \leq i \leq k\}, D' \cup \{u_{2i+1} \mid 0 \leq i \leq k-1\})$  is an  $(\alpha, \gamma)$ -pair of  $T$ .

(ii) If  $T$  has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair  $(I, D)$  such that  $v_0 \in I$ ,  $w \in D$ ,  $|I \cap \{u_i \mid 0 \leq i \leq 2k+1\}| = k+1$  and  $|D \cap \{u_i \mid 0 \leq i \leq 2k+1\}| = 1$ . Similarly, if  $T'$  has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair  $(I', D')$  such that  $v_0 \in I$  and  $w \in D$ . This easily implies that  $\alpha(T) = \alpha(T') + k + 1$ ,  $\gamma(T) = \gamma(T') + 1$  and that  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T'$  has an  $(\alpha, \gamma)$ -pair.

(iii) If  $T$  has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair  $(I, D)$  such that  $v_0 \in I$  and  $v_1 \in D$ . Similarly, if  $T'$  has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair  $(I', D')$  such that  $v_0 \in I$  and  $v_1 \in D$ . This easily implies that  $\alpha(T) = \alpha(T') + 1$ ,  $\gamma(T) = \gamma(T') + 1$  and that  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T'$  has an  $(\alpha, \gamma)$ -pair.

(iv) Note that every minimum dominating set of  $T$  contains  $w$ ,  $u_1$  and  $v_1$ . Similarly every minimum dominating set of  $T'$  contains  $w$ . This easily implies that  $\alpha(T) = \alpha(T') + 2$ ,  $\gamma(T) = \gamma(T') + 2$  and that  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T'$  has an  $(\alpha, \gamma)$ -pair.

(v) It is easy to see that  $\alpha(T) = \alpha(T') + 3$  and  $\gamma(T) = \gamma(T') + 2$ . If  $T$  has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair  $(I, D)$  such that  $u_0, v_0, v_2 \in I$  and  $u_1, v_1 \in D$ . This easily implies that  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T'$  has an  $(\alpha, \gamma)$ -pair.

(vi) It is easy to see that  $\alpha(T) = \alpha(T') + 1$ . Similarly, since  $T'$  has a minimum dominating set containing  $w$ , we have  $\gamma(T) = \gamma(T') + 1$  which again implies the desired result.

(vii) Note that  $T$  has a maximum independent set containing  $u_2$  and a minimum dominating set containing  $w$ . This easily implies that  $\alpha(T) = \alpha(T') + 3$  and  $\gamma(T) = \gamma(T') + 2$  which again implies the desired result.  $\square$

**Lemma 5** *Let  $T$  contain three internally vertex disjoint paths  $P : u_0 u_1 x$ ,  $Q : v_0 v_1 v_2 x$  and  $R : w_0 w_1 w_2 w_3 x$  such that  $d_T(u_0) = d_T(v_0) = d_T(w_0) = 1$ ,  $d_T(u_1) = d_T(v_1) = d_T(v_2) = d_T(w_1) = d_T(w_2) = d_T(w_3) = 2$  and  $d_T(x) = 4$ , then  $T$  has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1, v_0, v_1, w_0, w_1, w_2, w_3\}$  has an  $(\alpha, \gamma)$ -pair.*

*Proof:* Note that  $T$  has a maximum independent set  $I$  such that  $I \cap (V(P) \cup V(Q) \cup V(R)) = \{u_0, v_0, w_0, v_2, w_2\}$  and a minimum dominating set  $D$  such that  $D \cap (V(P) \cup V(Q) \cup V(R)) = \{u_1, v_1, w_1, x\}$ . This easily implies that  $\alpha(T) = \alpha(T') + 4$  and  $\gamma(T) = \gamma(T') + 3$ .

If  $T$  has an  $(\alpha, \gamma)$ -pair, then  $T$  has an  $(\alpha, \gamma)$ -pair  $(I, D)$  such that  $I \cap (V(P) \cup V(Q) \cup V(R)) = \{u_0, v_0, w_0, v_2, w_2\}$  and  $D \cap (V(P) \cup V(Q) \cup V(R)) = \{u_1, v_1, w_1, x\}$ . In this case  $(I \setminus \{u_0, v_0, w_0, v_2, w_2\}, D \setminus \{u_1, v_1, w_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T'$ . Conversely, if  $T'$  has an  $(\alpha, \gamma)$ -pair, then  $T'$  has an  $(\alpha, \gamma)$ -pair  $(I', D')$  such that  $v_2 \in I'$  and  $x \in D'$ . In this case  $(I' \cup \{u_0, v_0, w_0, v_2, w_2\}, D' \cup \{u_1, v_1, w_1\})$  is an  $(\alpha, \gamma)$ -pair of  $T$  which completes the proof.  $\square$

For integers  $k \geq 1$  and  $d_1 \geq d_2 \geq \dots \geq d_k \geq 1$  a tree  $T$  is said to have a  $(d_1, d_2, \dots, d_k)$ -*tinsel*  $(P_1, P_2, \dots, P_k)$  *pending on*  $v$  if  $P_1, P_2, \dots, P_k$  are  $k$  internally vertex disjoint paths in  $T$  such that

$$P_i : u_{i,0}u_{i,1} \dots u_{i,d_i-1}v,$$

$d_T(u_{i,0}) = 1$  and  $d_T(u_{i,j}) = 2$  for  $1 \leq i \leq k$  and  $1 \leq j \leq d_i - 1$  and  $d_T(v) = k + 1$ . For integers  $\partial d_1, \partial d_2, \dots, \partial d_k$  with  $0 \leq \partial d_i \leq d_i$  for  $1 \leq i \leq k$ , the tree

$$T - \bigcup_{i=1}^k \bigcup_{j=0}^{\partial d_i-1} \{u_{i,j}\}$$

is said to arise from the tree  $T$  by  $(\partial d_1, \partial d_2, \dots, \partial d_k)$ -*cutting* the  $(d_1, d_2, \dots, d_k)$ -tinsel  $(P_1, P_2, \dots, P_k)$ . Note that a tree  $T$  which is not a path and is rooted at an endvertex of a longest path has a tinsel  $(P_1, P_2, \dots, P_k)$  pending on some vertex  $v$  such that  $k \geq 2$  and all vertices of the paths  $P_i$  are either  $v$  or descendants of  $v$ .

The next result summarizes the reductions captured by Lemmas 3 through 5 and yields a constructive characterization of trees having an  $(\alpha, \gamma)$ -pair.

**Theorem 6** *Let  $T = (V, E)$  be a tree which is not a path and different from the tree  $T^*$ . Let  $(P_1, P_2, \dots, P_k)$  be a  $(d_1, d_2, \dots, d_k)$ -tinsel pending on  $v$  with  $k \geq 2$ .*

*The tree  $T$  has an  $(\alpha, \gamma)$ -pair if and only if the tree  $T'$  which arises from the tree  $T$  by  $(\partial d_1, \partial d_2, \dots, \partial d_k)$ -cutting the  $(d_1, d_2, \dots, d_k)$ -tinsel  $(P_1, P_2, \dots, P_k)$  has an  $(\alpha, \gamma)$ -pair and  $(\alpha(T) - \alpha(T'), \gamma(T) - \gamma(T')) = (\partial \alpha, \partial \gamma)$  where*

- (i) *if  $d_1 \geq 5$  and  $\alpha(T) = \alpha(T - \{u_{1,0}, u_{1,1}, \dots, u_{1,4}\}) + 3$ , then  $(\partial d_1, \partial d_2, \dots, \partial d_k) = (2, 0, \dots, 0)$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .*
- (ii) *if  $d_1 \geq 5$  and  $\alpha(T) = \alpha(T - \{u_{1,0}, u_{1,1}, \dots, u_{1,4}\}) + 2$ , then  $(\partial d_1, \partial d_2, \dots, \partial d_k) = (3, 0, \dots, 0)$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .*
- (iii) *if there are two indices  $1 \leq i < j \leq k$  such that  $d_i, d_j \in \{1, 3\}$ , then  $\partial d_i = d_i$ ,  $\partial d_r = 0$  for  $1 \leq r \leq k$  with  $r \neq i$  and  $(\partial \alpha, \partial \gamma) = (\frac{d_i+1}{2}, \frac{d_i-1}{2})$ .*
- (iv) *if  $d_k = 1$  and there is an index  $1 \leq i < k$  such that  $d_i \in \{2, 4\}$ , then  $\partial d_i = d_i$ ,  $\partial d_r = 0$  for  $1 \leq r \leq k$  with  $r \neq i$  and  $(\partial \alpha, \partial \gamma) = (\frac{d_i}{2}, 1)$ .*
- (v) *if there are two indices  $1 \leq i < j \leq k$  such that  $d_i = d_j = 2$ , then  $\partial d_i = d_i$ ,  $\partial d_r = 0$  for  $1 \leq r \leq k$  with  $r \neq i$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .*
- (vi) *if there are two indices  $1 \leq i < j \leq k$  such that  $d_i = d_j = 4$ , then  $\partial d_i = \partial d_j = 3$ ,  $\partial d_r = 0$  for  $1 \leq r \leq k$  with  $r \notin \{i, j\}$  and  $\partial \alpha = 2$ .*
- (vii) *if  $k = 2$  and  $(d_1, d_2) = (3, 2)$ , then  $T' = T - (V(P_1) \cup V(P_2))$ .*
- (viii) *if  $k = 2$  and  $(d_1, d_2) = (4, 2)$ , then  $(\partial d_1, \partial d_2) = (0, 2)$ .*
- (ix) *if  $k = 2$  and  $(d_1, d_2) = (4, 3)$ , then  $(\partial d_1, \partial d_2) = (4, 2)$ .*



(x) if  $k = 3$  and  $(d_1, d_2) = (4, 3, 2)$ , then  $(\partial d_1, \partial d_2, \partial d_3) = (4, 2, 2)$ .

Furthermore, one of the cases (i)-(x) occurs.

*Proof:* If  $d_1 \geq 5$ , then, by Lemma 3 (i),  $2 \leq \alpha(T) - \alpha(T - \{u_{1,0}, u_{1,1}, \dots, u_{1,4}\}) \leq 3$ . Now, by Lemma 3 (ii) and (iii), either (i) or (ii) occurs. Hence we may assume that  $d_1 \leq 4$ , i.e. all  $d_i$  are at most 4. If there are two odd  $d_i$ 's, then, by Lemma 4 (i), the case (iii) occurs. Hence we may assume that at most one of the  $d_i$  is odd. If  $d_k = 1$ , then, by Lemma 4 (ii), the case (iv) occurs. Hence we may assume that all  $d_i$  are either 2, 3 or 4. If there are two  $d_i$ 's equal to 2, then, by Lemma 4 (iii), the case (v) occurs. Hence we may assume that at most one of the  $d_i$  is 2. If there are two  $d_i$ 's equal to 4, then, by Lemma 4 (iv), the case (vi) occurs. Hence we may assume that at most one of the  $d_i$  is 4. If  $k \geq 3$ , then  $k = 3$ ,  $(d_1, d_2, d_3) = (4, 3, 2)$  and, by Lemma 5, the case (x) occurs. Hence we may assume  $k = 2$  and, by Lemma 4 (v) through (vii), one of the cases (vii) through (ix) occurs. This completes the proof.  $\square$

**Corollary 7** *It is possible to decide in polynomial time whether a given tree of order at least 2 has an  $(\alpha, \gamma)$ -pair.*

*Proof:* If  $T$  is a path of order at most 6 or the tree  $T^*$ , then, by Lemma 2,  $T$  has an  $(\alpha, \gamma)$ -pair. If  $T$  is a path of order at least 7, then Lemma 3 allows to reduce the decision problem to a smaller tree in polynomial time. If  $T$  is neither a path nor the tree  $T^*$ , then Theorem 6 allows to reduce the decision problem to a smaller tree in polynomial time.  $\square$

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